

MDCG 2024-1-3

Guidance on the vigilance system for CE-marked devices

DSVG 03

Cardiac Implantable Electronic Devices (CIEDs)

January 2024

This document has been endorsed by the Medical Device Coordination Group (MDCG) established by Article 103 of Regulation (EU) 2017/745. The MDCG is composed of representatives of all Member States and it is chaired by a representative of the European Commission.

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1. Introduction

The aim of this Device Specific Vigilance Guidance (DSVG) is to harmonise vigilance reporting and provide guidance for manufacturers of **Cardiac implantable electronic devices and their leads (CIEDs)**.

It provides further clarification for vigilance reporting of **Cardiac implantable electronic devices and their leads (CIEDs)** to the relevant Competent Authority and should be read in conjunction with the requirements of Regulation (EU) 2017/745 on medical devices (MDR) [1].

This DSVG does not replace or extend any of those requirements.

This document outlines the way to report incidents and serious incidents, defined in Article 2(64) and (65) MDR, in accordance with Articles 87 and 88 MDR, which occurred with **Cardiac implantable electronic devices and their leads (CIEDs)** to the relevant Competent Authority.

2. What should be reported

It is the manufacturer's responsibility to judge each event on its own merit and to ensure compliance with the statutory reporting requirements contained within the MDR [1].

- **Individual serious incident**

In accordance with Article 87 MDR [1] manufacturers shall report serious incidents to the relevant Competent Authority. **Serious incidents** are defined in Article 2(65) MDR.

This includes circumstances where the manufacturer is uncertain whether the incident that occurred with a specific device is reportable or need time to obtain clarification about the root cause of the incident, in accordance with Article 87(6) and (7) MDR.

The notification to the relevant Competent Authority should be reported within the timeframes referred to in Article 87(2) and (5) MDR.

For further information and clarification on what constitutes a serious incident and for details on how to apply the reporting timelines of the MDR, please refer to MDCG 2023-3¹ "*Questions and Answers on vigilance terms and concepts as outlined in the Regulation (EU) 2017/745 on medical devices*" [2].

- **Periodic Summary Reporting**

A "**Periodic Summary Report**" (PSR) is an alternative reporting regime by which the manufacturer, in agreement with the respective national Competent Authority that is coordinating the periodic summary reporting (and in consultation with the Competent Authorities referred to in Article 92(8)(a) MDR), can report similar serious incidents with the same device or device type in a consolidated way.

This is possible when similar serious incidents involving the same specific device or device type occur and for which the root cause has been identified or a field safety

¹ MDCG 2023-3 guidance is under revision to include IVDR aspects. Please refer to the updated version when available at the following link: https://health.ec.europa.eu/medical-devices-sector/new-regulations_en#guidance.

corrective action has been implemented or where the serious incidents are common and well documented, as defined in Article 87(9) MDR.

The format, content and frequency of periodic summary reports should be agreed with the Coordinating Competent Authority (in consultation with the Competent Authorities participating in the Periodic Summary Reporting) (Article 87(9) MDR).

Until EUDAMED becomes fully functional, Competent Authorities, economic operators and other relevant parties should follow MDCG 2021-1 Rev. 1 *“Guidance on harmonised administrative practices and alternative technical solutions until EUDAMED is fully functional”* [3] (as required under the MDR).

- **Trend Reporting**

The requirements for **trend reporting** are outlined in Article 88 MDR [1].

In accordance with the **MDR**, the manufacturer should report to a Competent Authority any statistically significant increase in the frequency or severity of incidents that are not serious incidents or that are expected undesirable side-effects that could have a significant impact on the benefit-risk analysis and which have led or may lead to risks to the health or safety of patients, users or other persons that are unacceptable when weighed against the intended benefits. Trends should be identified by the manufacturer as they can be indicative of a change in the risk-benefit ratio.

For further information and clarification on what constitute incidents and undesirable side-effects please refer to MDCG 2023-3 *“Questions and Answers on vigilance terms and concepts as outlined in the Regulation (EU) 2017/745 on medical devices”* [2].

3. DSVG 03 examples

The following table details **Cardiac implantable electronic devices and their leads (CIEDs) examples** indicating what should be reported as device-related problems that caused or contributed to the incidents or serious incidents.

The list is for illustrative purposes only and does not constitute an exhaustive list:

Guidance for manufacturers on reporting device-specific serious incidents and incidents under the European vigilance system

To be read in conjunction with the MDR

Title: Cardiac implantable electronic devices and their leads (CIEDs)

<p>Report as individual serious incidents Serious incident: Art. 2(65) and Art. 87 MDR Reporting timelines: by 15, 10 or 2 days from the Manufacturer's awareness in accordance with Art. 87(3) to (5) MDR</p>	<p>Can be included in Periodic Summary Reports (PSRs)**</p>	<p>Report at the time the trend is identified Incidents (Art. 2(64) and Art. 88 MDR) and expected undesirable side-effects***</p>										
<p>Clinical / Symptomatic (IMDRF ANNEX E codes*)</p> <ul style="list-style-type: none"> Death**** E0602 Tamponade due to cardiac perforation E0605 Pericardial effusion when pericardiocentesis or surgery is needed E0619 Pneumothorax E0734 Major/severe bleeding due to great vessel perforation E051101 Bleeding E0506 Prolonged asystole**** E060101 Life-threatening arrhythmia requiring resuscitation**** E0601 Pocket or other device-related infection E1906 Cerebrovascular accident (stroke and transient ischemic attack) E013302 Other thromboembolic complications E050304 <p>Device (IMDRF ANNEX A codes*)</p> <ul style="list-style-type: none"> Undersensing by ICD/lead – failure to deliver programmed defibrillation/ anti-tachycardia pacing therapy A070910 Loss of capture/ stimulation post implant not resolved by reprogramming or repositioning (to address a threshold rise) A0701 Inability to deliver programmed high voltage therapy A071301 Oversensing: by ICD/lead – causing inappropriate shock or anti-tachycardia pacing unless evidence exists that it is due to electromagnetic interference A070909 Loss of output (other than normal battery depletion) A070908 / A090405 / A070801 Lead impedance**** rise due to suspected conductor fracture A0722 Delivery system failure with the potential to lead to a serious injury e.g. leadless device A071301 Dislodgement of leadless device post implant A051201 Programmer problem with the potential to cause serious injuries A2202 	<table border="1"> <thead> <tr> <th data-bbox="1222 598 2050 661">Clinical / Symptomatic (IMDRF ANNEX E codes*)</th> <th data-bbox="2050 598 2264 661">Periodicity</th> </tr> </thead> <tbody> <tr> <td data-bbox="1222 661 2050 779"> <ul style="list-style-type: none"> Pericardial effusion due to pericardial and/or myocardial perforation (without tamponade) E0619 </td> <td data-bbox="2050 661 2264 779">3 months</td> </tr> <tr> <td colspan="2" data-bbox="1222 779 2264 871">Device (IMDRF ANNEX A codes*)</td> </tr> <tr> <td data-bbox="1222 871 2050 1262"> <ul style="list-style-type: none"> Pacing: undersensing (risk of competitive pacing) not resolved by reprogramming A070910 Pacing: oversensing/ noise suspected to be related to a lead malfunction A070909 Premature/unexpected battery depletion A070504 Lead impedance**** drop due to suspected insulation failure A0722 </td> <td data-bbox="2050 871 2264 1262">3 months</td> </tr> <tr> <td data-bbox="1222 1262 2050 1722"> <ul style="list-style-type: none"> Lead – pulse generator disconnection or set screw problem which leads to re-opening of the pocket A071209 Reversion to back-up VVI mode when not designed to do so (ventricle paced, ventricle sensed, pacing inhibited) A071205 Persistent telemetry problems in-clinic (interrogation or programming) A1304 Remote monitoring issues (software or device related) A1103 </td> <td data-bbox="2050 1262 2264 1722">6 months</td> </tr> </tbody> </table>	Clinical / Symptomatic (IMDRF ANNEX E codes*)	Periodicity	<ul style="list-style-type: none"> Pericardial effusion due to pericardial and/or myocardial perforation (without tamponade) E0619 	3 months	Device (IMDRF ANNEX A codes*)		<ul style="list-style-type: none"> Pacing: undersensing (risk of competitive pacing) not resolved by reprogramming A070910 Pacing: oversensing/ noise suspected to be related to a lead malfunction A070909 Premature/unexpected battery depletion A070504 Lead impedance**** drop due to suspected insulation failure A0722 	3 months	<ul style="list-style-type: none"> Lead – pulse generator disconnection or set screw problem which leads to re-opening of the pocket A071209 Reversion to back-up VVI mode when not designed to do so (ventricle paced, ventricle sensed, pacing inhibited) A071205 Persistent telemetry problems in-clinic (interrogation or programming) A1304 Remote monitoring issues (software or device related) A1103 	6 months	<p>Clinical / Symptomatic (IMDRF ANNEX E codes*)</p> <ul style="list-style-type: none"> Pocket or other device-related infection E1906 Cerebrovascular accident (stroke and transient ischemic attack) E013302 Other thromboembolic complications E050304 Superior vena cava syndrome <p>Device (IMDRF ANNEX A codes*)</p> <ul style="list-style-type: none"> Electrode displacement / dislodgement A051201 Programmer problem without the potential to cause serious injuries A2202 All set screw/connection problems irrespective of patient impact A1208 Loss of capture/ stimulation post implant resolved by repositioning (to address a threshold rise) A0701
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* The IMDRF Annexes codes associated with each text description are included as guides (please see the Section 5).

** If you can't use PSR, then report these serious incidents individually, using MIR Form. The format, content and frequency of PSRs should be arranged with the Coordinating Competent Authority.

*** Any statistically significant increase in the frequency or severity of incidents and expected undesirable side-effects shall be reported by the manufacturer in accordance with Article 88(1) MDR.

**** Pacing impedance is typically considered abnormal if a measurement is <200 Ω or >1000-3000 Ω (depending on the lead model). Defibrillation impedance is typically considered abnormal if a measurement is < 20 Ω or > 200 Ω (based on lead model and measurement range of the device).

***** Unless information or evaluation of device indicates not device related.

This guidance is limited to devices used to manage cardiac rhythms known as cardiac implanted electronic devices (CIEDs), including pacemakers, ICDs and CRT-Ds. Cardiac assist devices such as LVADs and BiVADs are not included.

4. Clinical References and Clinical Guidelines

Clinical references or current clinical guidelines for a **Cardiac implantable electronic devices and their leads (CIEDs)** may be used by manufacturers in order to identify incident examples and complications.

Current clinical guidelines for cardiac therapeutic procedures, expert consensus statements and current analysis of complications can be found on the European Society of Cardiology's website (<https://www.escardio.org/>).

5. IMDRF Terminologies for Categorised Adverse Event Reporting

The text descriptions of Medical device problems (IMDRF Annex A) and Health effects - Clinical signs and symptoms (IMDRF Annex E) in the table are examples of what should be reported and refer to the IMDRF Annex A and E release No. 2023.

Please note that manufacturers should consult the most recent version of the IMDRF adverse event code.

The following link is provided to facilitate consultation:

<https://www.imdrf.org/documents/terminologies-categorized-adverse-event-reporting-aer-terms-terminology-and-codes>.

6. References

- [1] Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017 on medical devices, amending Directive 2001/83/EC, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Council Directives 90/385/EEC and 93/42/EEC.
- [2] MDCG 2023-3 “*Questions and Answers on vigilance terms and concepts as outlined in the Regulation (EU) 2017/745 on medical devices*”. Link: https://health.ec.europa.eu/system/files/2023-02/mdcg_2023-3_en_0.pdf.
- [3] MDCG 2021-1 Rev. 1 “*Guidance on harmonised administrative practices and alternative technical solutions until EUDAMED is fully functional*”. Link: https://health.ec.europa.eu/system/files/2021-05/2021-1_guidance-administrative-practices_en_0.pdf.